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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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7. EVANS  
9.30.03

In Re Application of

Ballantyne, et al.

Serial No.: 09/898,680

Filed: July 3, 2001

For: DUAL MODE POWER SUPPLY

) Group No. 2838

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(c)**

Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

Applicants, through their attorney, submit herewith references in accordance with 37 CFR § 1.56.

The citation of these references is not intended to constitute an admission that any reference provided is prior art to the invention of this application unless specifically designated as such.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on:

March 27, 2003

(Date of Deposit)

Theresa Badet

(Name of the Person Making Deposit)

*Theresa Badet*  
(Signature)

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The filing of this document shall not be construed to mean that any search has been made or, that if made such search was complete or exhaustive, or that no other material information as defined in 37 CFR § 1.56 exists. A list of the references is set forth on Form PTO-1449, which is enclosed herewith in duplicate along with a copy of each cited reference. Applicants respectfully request that the Examiner return to Applicants the enclosed copy of the Form PTO-1449 indicating consideration of the references.

- ☒ The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Also enclosed for your convenience is a copy of the International Search Report.

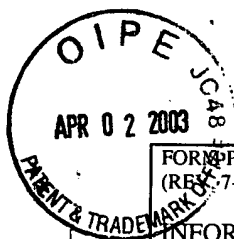
Respectfully submitted,

Dated: March 27, 2003

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(REV. 7-80) PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
010181SERIAL NO.  
09/898,680INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)APPLICANT  
Ballantyne, et al.

DATE MAILED: March 27, 2003

FILING DATE  
July 3, 2001GROUP  
2838

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	Ref No	DOCUMENT NUMBER								DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPRO- PRIATE
	A1	5	6	8	6	8	1	3		11/11/97	Huen et al.			
	A2	6	1	5	3	9	4	9		11/28/00	Söderhäll			
	A3													
	A4													
	A5													

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	Ref No	DOCUMENT NUMBER								DATE	COUNTRY	NAME	CLASS	SUB CLASS
	B1	0	5	4	6	6	5	2		06/16/93	EP			
	B2													
	B3													
	B4													
	B5													
	B6													
	B7													

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Page, Etc.)

	C1		
	C2		
EXAMINER		DATE CONSIDERED	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			